

DT05 Rec'd PCT/PTO 18 SEP 2002
Patent
Attorney's Docket No. 001560-418

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

In re Patent Application of
Kazuya NAKAGAWA et al.
Application No.: 10/070,731
Filed: March 12, 2002
For: WORK FLOW SYSTEM

)
)
) Group Art Unit: UNASSIGNED
)
) Examiner: UNASSIGNED
)
) Confirmation No.: UNASSIGNED
)

**INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

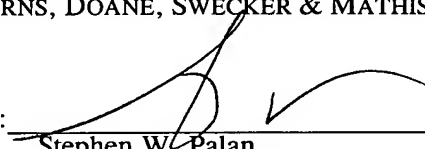
- ☒ [X] No additional fee for submission of an IDS is required.
- ☐ [] The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ [] A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ [] A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ [] Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- ☐ [] A check in the amount of \$_____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

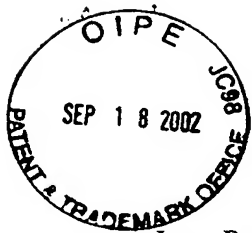
Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

By: 
Stephen W. Palan
Registration No. 43,420

Date: September 18, 2002



Patent
Attorney's Docket No. 001560-418

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)	
Kazuya NAKAGAWA et al.)	Group Art Unit: UNASSIGNED
Application No.: 10/070,731)	Examiner: UNASSIGNED
Filed: March 12, 2002)	Confirmation No.: UNASSIGNED
For: WORK FLOW SYSTEM)	

INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

**International Search Report issued in connection with International Application
No. PCT/JP01/06108, mailed October 9, 2001:**

U.S. Patent No. 5,930,512, issued July 27, 1999, to Boden et al.

European Patent Publication No. EP 0 778 535 A2, June 11, 1997

European Patent Publication No. EP 0 982 675 A2, March 1, 2000

Japanese Patent Publication No. 11073335A, March 16, 1999 (with English language abstract)

Japanese Patent Publication No. 11249981A, September 17, 1999 (with English language abstract)

Yamamoto et al., "Workflow Kanri Package: Bow," NTT Gijutso Journal, Vol. 9, No. 8, August 1, 1997 (01.08.97), Shadan Houjin Denki Tsuushin Kyokai, pp. 71-73.

Hayamizu et al., "Kokomade Kita Workflow Kanri System (2) Workflow Seihin no Hyojun-ka," Joho Shori, Vol. 39, No. 12, December 15, 1998, Shadan Hojin Joho Shori Gakkai, pp. 1258-1263

Hayamizu, "Workflow Kanri System No Hyojun-ka Doukou to Inter Workflow Shien Gijutsu e no Hatten," NTT Gijutsu Journal, Vol. 9, No. 12, December 1, 1997, Shadan Houjin, Denki Tsuushin Kyokai, pp. 114-123.

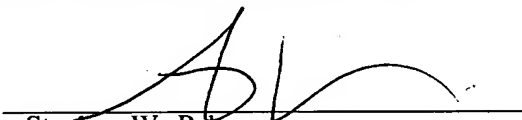
The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or statement is required under 37 C.F.R. § 1.97(b).

This Information Disclosure Statement contains information which is not in the English language but was cited in a search report or other action by a foreign patent office in a counterpart foreign application. In accordance with M.P.E.P. § 609 A(3) an English language version of the search report or action which indicates the degree of relevance found by the foreign office is being submitted herewith.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: 
Stephen W. Palan
Registration No. 43,420

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

Date: September 18, 2002

3/4/03
PH
#3

Substitute for form 1449A/PTO

ATTORNEY'S DKT NO.
001560-418APPLICATION NO.
10/070,731INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
Kazuya NAKAGAWA et al.FILING DATE
March 12, 2002GROUP
UNASSIGNEDO I P E
SEP 18 2002
T. TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

Examiner Initials	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Patent (MM-DD-YYYY)
	Number	Kind Code (if known)		
	5,930,512		Boden et al.	07-27-1999

FOREIGN PATENT DOCUMENTS

Examiner Initials	Foreign Patent Document		Country	Date of Publication (MM-DD-YYYY)	Translation	
	Number	Kind Code (if known)			Yes	no
	JP11073335A		Japan (English Abstract also enclosed)	03-16-1999	X	
	JP11249981A		Japan (English Abstract also enclosed)	09-17-1999	X	
	EP 0 778 535 A2		Europe	06-11-1997		
	EP 0 982 675 A2		Europe	03-01-2000		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	Yamamoto et al., "Workflow Kanri Package: Bow," NTT Gijutso Journal, Vol. 9, No. 8, August 1, 1997 (01.08.97), Shadan Houjin Denki Tsuushin Kyokai, pp. 71-73.
	Hayamizu et al., "Kokomade Kita Workflow Kanri System (2) Workflow Seihin no Hyoujun-ka," Joho Shori, Vol. 39, No. 12, December 15, 1998, Shadan Hojin Joho Shori Gakkai, pp. 1258-1263.
	Hayamizu, "Workflow Kanri System No Hyojun-ka Doukou to Inter Workflow Shien Gijutsu e no Hatten," NTT Gijutsu Journal, Vol. 9, No. 12, December 1, 1997, Shadan Houjin, Denki Tsuushin Kyokai, pp. 114-123.
Examiner Signature	Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.